Innovations in symmetric cryptography

Joan DAEMEN

STMicroelectronics, Belgium

SSTIC, Rennes, June 5, 2013

Outline

1 The origins

- 2 Early work
- 3 Rijndael
- 4 The sponge construction and KECCAK

5 Conclusions

Outline

1 The origins

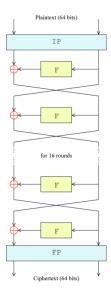
- 2 Early work
- 3 Rijndael
- 4 The sponge construction and Keccak
- 5 Conclusions

Symmetric crypto around '89

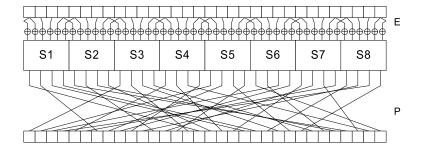
Stream ciphers: LFSR-based schemes

- no actual design
- many mathematical papers on linear complexity
- Block ciphers: DES
 - design criteria not published
 - DC [Biham-Shamir 1990]: "DES designers knew what they were doing"
 - LC [Matsui 1992]: "well, kind of"
- Popular paradigms, back then (but even now)
 - property-preservation: strong cipher requires strong S-boxes
 - confusion (nonlinearity): distance to linear functions
 - diffusion: (strict) avalanche criterion
 - you have to trade them off

Data encryption standard: datapath

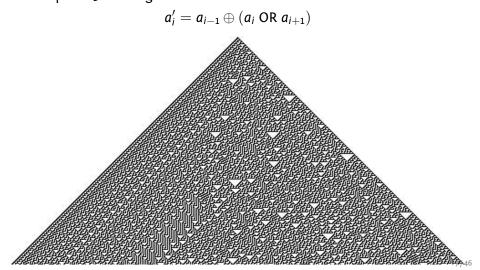


Data encryption standard: F-function



A different angle: cellular automata

Simple local evolution rule, complex global behaviourPopular 3-bit neighborhood rule:



Crypto based on cellular automata

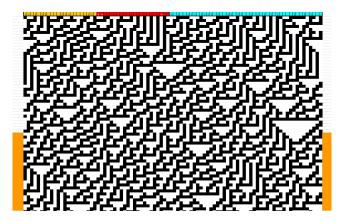
CA guru Stephen Wolfram at Crypto '85:

- looking for applications of CA
- concrete stream cipher proposal
- Crypto guru Ivan Damgård at Crypto '89
 - hash function from compression function
 - proof of collision-resistance preservation
 - compression function with CA

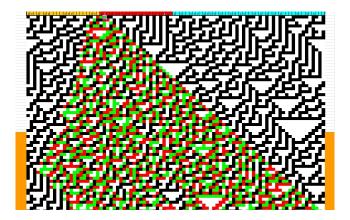
Both broken

- stream cipher in [Meier-Staffelbach, Eurocrypt '91]
- hash function in [Daemen et al., Asiacrypt '91]

The trouble with Damgård's compression function



The trouble with Damgård's compression function



Outline

1 The origins

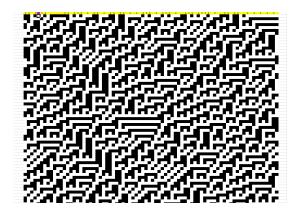
2 Early work

- 3 Rijndael
- 4 The sponge construction and Keccak

5 Conclusions

Salvaging CA-based crypto

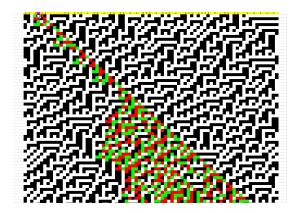
- First experiments: investigate cycle distributions
- The following rule exhibited remarkable cycle lengths:
 - γ : flip the bit iff 2 cells at the right are not 01
- Invertible if periodic boundary conditions and odd length



Salvaging CA-based crypto

First experiments: investigate cycle distributions

- The following rule exhibited remarkable cycle lengths:
 - γ : flip the bit iff 2 cells at the right are not 01
- nonlinear, but unfortunately, weak diffusion



Salvaging CA-based crypto, second attempt

Found invertible 5-bit neighborhood rules with good diffusion

- Turned out to be composition of γ and following rule
 - θ : add to bit the sum of 2 cells at the right modulo 2
- Idea: alternate γ (nonlinearity) and variant of θ (mixing)



Salvaging CA-based crypto, second attempt

- Found invertible 5-bit neighborhood rules with good diffusion
- \blacksquare Turned out to be composition of γ and following rule
 - θ : add to bit the sum of 2 cells at the right modulo 2
- diffusion much better but still slow



Early work

Salvaging CA-based crypto, third attempt

Abandon locality by adding in bit transpositions:
π: move bit in cell *i* to cell *9i* modulo the length

Round function: $\mathbf{R} = \pi \circ \theta \circ \gamma$

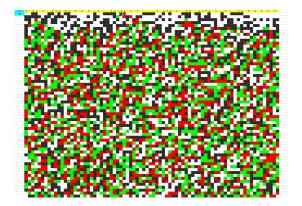


Early work

Salvaging CA-based crypto, third attempt

Abandon locality by adding in bit transpositions:

- π : move bit in cell *i* to cell 9*i* modulo the length
- full diffusion after few rounds!



Resulting designs

- Round function composed of specialized steps
 - γ : non-linearity
 - \bullet : mixing
 - **\pi**: transposition
 - *i*: addition of some constants for breaking symmetry
- Designs directly resulting from this
 - CELLHASH (1991): hash function
 - SUBTERRANEAN (1992), STEPRIGHTUP (1994) and PANAMA (1997): hash/stream cipher modules
 - 3-WAY and BASEKING (1993-94): block ciphers
- Theoretical basis: DC and LC
- Supporting concepts introduced in [PhD Thesis Daemen, 1995]
 - branch number
 - correlation matrices
 - wide trail strategy

Outline

1 The origins

2 Early work

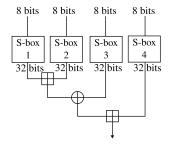
3 Rijndael

4 The sponge construction and Keccak

5 Conclusions

March 1995: last month at COSIC, after PhD defense

- Blowfish [Schneier, 1993]
- F function:

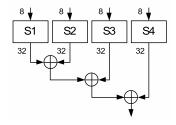


- 8-to-32-bit Sboxes
- Derived from key

My impression

- Great potential
- Only 4 TLU and 3 additions
- Very high diffusion
- Cryptanalysis contest in 1994
- Won by Serge Vaudenay
 - Exploiting collisions
 - In S-box: weak keys
 - In F-function
 - Published [Vaudenay, 1996]
- But can it be fixed?
 - Yes, it can!

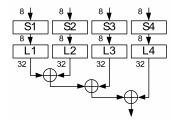
- Mixing S-box
- Both invertible



- 4 TLU and 4 XORs
- smuggled my idea out of COSIC ...

- just take a single one
- optimize nonlinearity
- criteria defined by DC and LC
- Linear mixing layer
 - optimize diffusion
- Clearly big potential!
- Challenge: finding right S-box and mixing layer

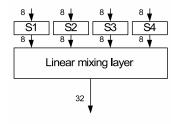
- Mixing S-box
- Both invertible



- 4 TLU and 4 XORs
- smuggled my idea out of COSIC ...

- just take a single one
- optimize nonlinearity
- criteria defined by DC and LC
- Linear mixing layer
 - optimize diffusion
- Clearly big potential!
- Challenge: finding right S-box and mixing layer

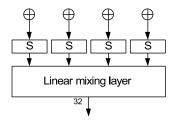
- Mixing S-box
- Both invertible



- 4 TLU and 4 XORs
- smuggled my idea out of COSIC ...

- just take a single one
- optimize nonlinearity
- criteria defined by DC and LC
- Linear mixing layer
 - optimize diffusion
- Clearly big potential!
- Challenge: finding right S-box and mixing layer

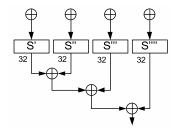
- Mixing S-box
- Both invertible



- 4 TLU and 4 XORs
- smuggled my idea out of COSIC ...

- just take a single one
- optimize nonlinearity
- criteria defined by DC and LC
- Linear mixing layer
 - optimize diffusion
- Clearly big potential!
- Challenge: finding right S-box and mixing layer

- Mixing S-box
- Both invertible



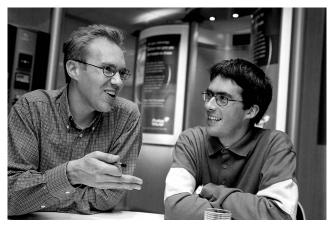
- 4 TLU and 4 XORs
- smuggled my idea out of COSIC ...

- just take a single one
- optimize nonlinearity
- criteria defined by DC and LC
- Linear mixing layer
 - optimize diffusion
- Clearly big potential!
- Challenge: finding right S-box and mixing layer

Rijndael

Two years earlier ...

Summer 1993: COSIC gets some classified contract workSupervisors decide to put on it:



Joan Daemen and Vincent Rijmen

The road to Rijndael

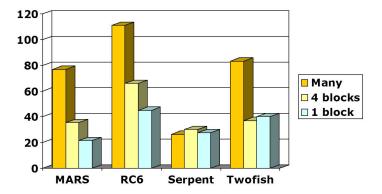
Switch back to autumn 1995

- I decided to contact Vincent to work out my ideas
 - this lead to the following results
- SHARK [SHARK, FSE 1996]
 - link with maximum distance separable (MDS) codes
 - S-box: multiplicative inverse in GF(2⁸) [Nyberg, 1994]
- SQUARE [SQUARE, FSE 1997]
 - more efficient thanks to byte transposition layer
 - state bytes arranged in a 4 × 4 square
- BKSQ [BKSQ, Cardis 1998]:
 - support for non-square states
- NIST AES call in autumn 1997

we defined RIJNDAEL using these ideas and submitted it

AES finalists: speed on Pentium

Percentage executed by the time Rijndael finishes:



Rijndael (team) after AES selection

- October 2, 2000: NIST announces RIJNDAEL will be AES
- Security of AES
 - most heard criticism: too simple to be secure
 - several times announced broken, false alarms
 - current status: some dents in armor due to academic attacks
 - biclique attacks [Khovratovich, Rechberger, Bogdanov, 2011]
 - up to a factor 4 more efficient than exhaustive key search
- Follow-up work with Vincent, some highlights
 - RIJNDAEL book at Springer, the reference of block cipher design
 - new insights in differential propagation in AES-like functions
 - LC and DC statistics of random mappings
 - Pelican-MAC: 2.5 times faster than AES CBC-MAC

Outline

1 The origins

- 2 Early work
- 3 Rijndael
- 4 The sponge construction and Keccak

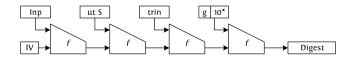
5 Conclusions

See how mainstream hash functions were going

Mainstream hash functions have two layers:

- Fixed-input-length compression function
- Iterating mode: domain extension

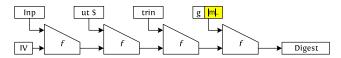
Merkle-Damgård iterating mode: very simple and elegant



Yes, but can we have collision-resistance preservation?

The iterating mode

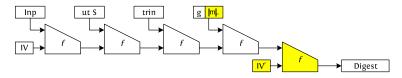
Merkle-Damgård with strengthening



Yes, but what about security when being used as a MAC?

The iterating mode

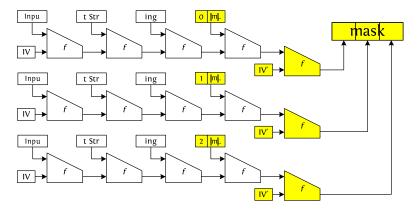
Enveloped Merkle-Damgård



Yes, but we often need long outputs, e.g., see PKCS#1, TLS, ...

The iterating mode

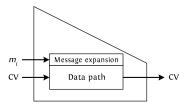
Mask generating function construction



This does what we need!

The compression function

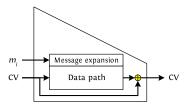
Let's put in a block cipher



Yes, but collisions are easy so collision-resistance preservation ...

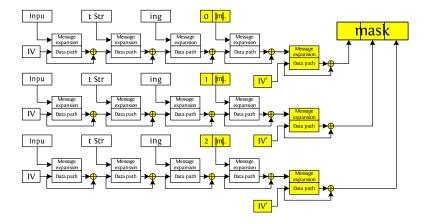
The compression function

Block cipher in Davies-Meyer mode



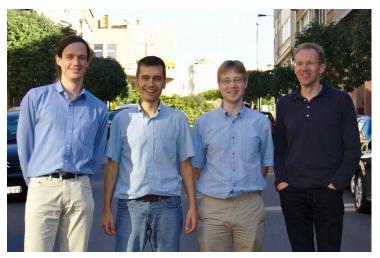
That's it!

What we end up with



Remains to do: building a suitable block cipher ...

KECCAK Team to the rescue!

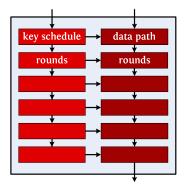


Michaël Peeters, Guido Bertoni, Gilles Van Assche and Joan Daemen

Do we really need a block cipher?

No diffusion from data path to key (and tweak) schedule

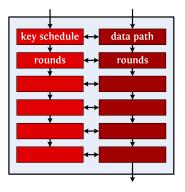
- Let's remove these artificial barriers...
- That's an iterative permutation!



Do we really need a block cipher?

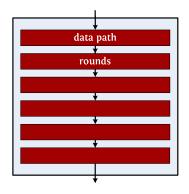
- No diffusion from data path to key (and tweak) schedule
- Let's remove these artificial barriers...

That's an iterative permutation!



Do we really need a block cipher?

- No diffusion from data path to key (and tweak) schedule
- Let's remove these artificial barriers...
- That's an iterative permutation!

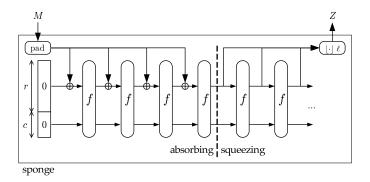


Let's re-factor the hashing mode

Goal: hashing mode that is sound and simple

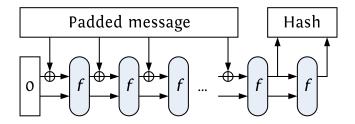
- with good level of security against generic attacks
- calling an iterated permutation rather than a block cipher
- Remaining problem: design of iterated permutation
 - round function: good approaches known
 - asymmetry: round constants
- Advantage of permutation compared to block ciphers:
 - less barriers ⇒ more diffusion
 - no more need for efficient decryption
 - no more worries about key schedule

The sponge construction



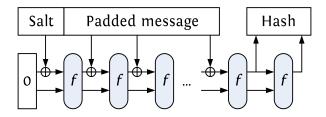
- Arbitrary input and output length
- Parameters: width b, rate r and capacity c with b = c + r
- Proven sound in indifferentiability framework [Maurer et al, 2004]
 - abandoning property preservation paradigm
 - security against generic attacks

Permutation-based hash function



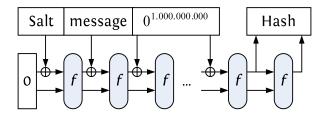
Hashing

Permutation-based hash function



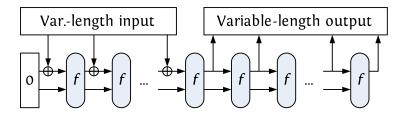
- Hashing
- Salted hashing

Permutation-based hash function



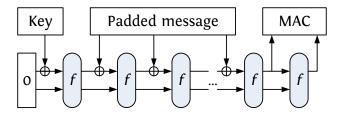
- Hashing
- …Can be as slow as you like it!

Permutation-based mask generating function



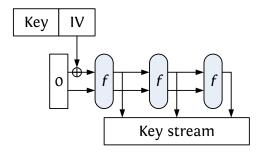
- Key derivation function in SSL, TLS
- Full-domain hashing in public key cryptography
 - electronic signatures RSA PSS [PKCS#1]
 - encryption RSA OAEP [PKCS#1]
 - key establishment RSA KEM [IEEE Std 1363a]

Permutation-based MACing



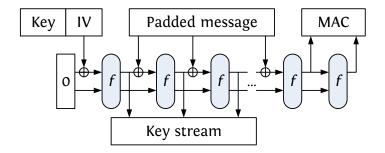
- No more need for HMAC [FIPS 198] for sponge
- HMAC plugs security hole in SHA-1 and SHA-2

Permutation-based (stream) encryption



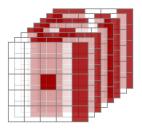
Keystream generation

Permutation-based authenticated encryption



- Authentication and encryption in a single pass!
- Secure messaging (SSL/TLS, SSH, IPSEC ...)
- Duplex construction [Duplex, SAC 2011]
 - generic security equivalent to sponge construction
 - other applications include reseedable PRNG

KECCAK: the Seven Permutation Army

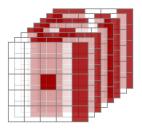


(5 × 5) lanes
up to 64-bit each

- Our SHA-3 submission
- Sponge calling one of 7 permutations:
 - 25, 50, 100, 200, 400, 800, 1600 bits
 - toy \rightarrow lightweight \rightarrow fastest
- repetition of a simple round function
 - lightweight and flexible
 - inspired by Subterranean, etc.
 - innovative, operating on a 3D state
- large safety margin
 - number of rounds: 24
 - best attacks known: 5 rounds [Dinur, Dunkalman, Shamir 2012 12]

Dunkelman, Shamir, 2012-13]

KECCAK: the Seven Permutation Army



(5 × 5) lanes
up to 64-bit each

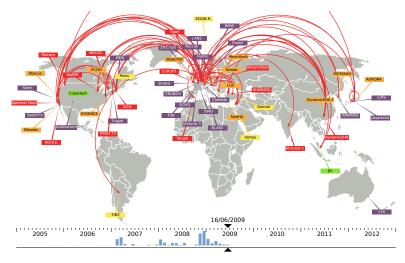
First, choose your permutation ...

■ e.g. *width* = 1600

- ...then choose the *rate* and *capacity*
 - such that rate + capacity = 1600
- Security-speed trade-offs using the same permutation:

Rate	Capacity	Strength	Speed
1344	256	128	×1.312
1216	384	192	×1.188
1088	512	256	×1.063
1024	576	288	1.000

NIST SHA-3: a tough competition



[courtesy of Christophe De Cannière]

Efficiency of KECCAK in hardware

From Kris Gaj's presentation at SHA-3, Washington 2012:

9 9 - BI AKE - BIAKE Groest - Groest Keccak V 8 8 JH. - JH Keccak - Keccak 7 Skein 7 Skein Normalized Throughput Normalized Throughput - SHA2 SHA2 Keccak 5 Groestl ¢JH Groest JH 🕁 🔳 Skein SHA2 Skein **SHA2** 1 1 BLAKE BLAKE 0L 0 0<u>.</u> 0 2 7 8 2 8 3 6 9 1 3 7 9 Normalized Area Normalized Area

ASIC

Stratix III FPGA

Long-term effort

- Rumours about NIST call for hash functions (late 2005)
 - forming of Кессак Team
 - starting point: fixing PANAMA [Daemen, Clapp, FSE 1998]
- RADIOGATÚN [Keccak team, NIST 2nd hash workshop 2006]
 - variable-length output, streaming oriented
 - for security claim: sponge functions [Keccak team, Ecrypt hash, 2007]
- RADIOGATÚN confidence crisis (2007-2008)
 - third-party and our own cryptanalysis did not inspire confidence
 - NIST SHA-3 deadline approaching ...
 - **U**-turn: design a sponge with strong permutation *f*: KECCAK
- October 2, 2012: NIST announces Keccak will be SHA-3
- Ongoing work:
 - tree hashing and SAKURA
 - dedicated keyed modes (CAESAR competition),
 - protection against side-channel attacks ...

Outline

1 The origins

- 2 Early work
- 3 Rijndael
- 4 The sponge construction and Keccak

5 Conclusions

Conclusions: trying to do things right

re-factoring over patching

- fresh AES instead of DES-derivative
- sponge instead of trying to fix Merkle-Damgård, e.g. Haifa
- KECCAK structure instead of just a heavier ARX

simplicity over complexity

- single S-box in AES instead of several different ones
- permutation-based instead of block-cipher based crypto
- KECCAK: CA-based mappings instead of S-boxes and MDS

result-focused over publication-driven

- hard to get design ideas published
- examples: original sponge paper, sound tree hashing
- turn out to be influential in the long run
- ...after linear complexity, T-functions, cube attacks etc. have long been forgotten

Conclusions: team up with critical minds

- How to build clean designs?
 - try out many ideas
 - throw most of them away
 - keep the good ones
- The process: collaboration and confrontation
 - in a team with critical minds
 - overlapping competences rather than complementary
 - not too much ego please
- Great to work with Vincent, Guido, Michaël and Gilles!
 - RIJNDAEL/AES: ubiquitous by now and security still solid
 - sponge/duplex: new permutation-based crypto paradigm
 - KECCAK/SHA-3: common sense made it to hashing, at last

Questions?

Thanks for your attention!



More information on http://sponge.noekeon.org/ http://keccak.noekeon.org/